

JUL 13 2007

## AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Benjamin E. Reubinoff et al.

Docket No.

14418

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/808,382	March 14, 2001	Thaian N. Ton	23389	1632	1139

Invention: EMBRYONIC STEM CELLS AND NEURAL PROGENITOR CELLS DERIVED THEREFROM

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	21 -	102 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	5 -	11 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_
- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-1013/SSMP
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
Signature

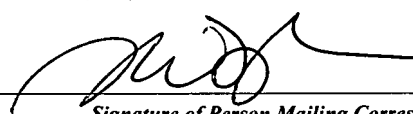
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Dated: July 11, 2007

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

July 11, 2007

(Date)



Signature of Person Mailing Correspondence

Xiaochun Zhu

Typed or Printed Name of Person Mailing Correspondence

cc: XZ:ab



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Benjamin E. Reubinoff et al.      **Examiner:** Thaian N. Ton  
**Serial No.:** 09/808,382      **Art Unit:** 1632  
**Filed:** March 14, 2001      **Docket:** 14418  
**For:** EMBRYONIC STEM CELLS  
AND NEURAL PROGENITOR  
CELLS DERIVED THEREFROM      **Dated:** July 11, 2007

**Confirmation No.:** 1139

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. § 1.114**

Sir:

In response to the Final Action dated December 29, 2006 and in accordance with the provisions of 37 C.F.R. § 1.114, Applicants respectfully submit the following amendment for entry in the above-identified case.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated: July 11, 2007

  
Xiaochun Zhu